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Proof. Define $M = \{x: x \leq F(x) \text{ and } x_0 \leq x \leq x_1\}$. Since $x_0 \in M$, M is nonempty. By Zorn's lemma there exists a maximal chain $L \subset M$. It is clear that $x_0 \in L$. By Lemma 3 sup L exists; thus, define $u = \sup L$. Since $x \leq u$ for all $x \in L$, we have $x \leq F(x) \leq F(u)$ for all $x \in L$. Therefore, $u \leq F(u)$. Since $u \leq x_1$ and L is a maximal chain in M, we must have $u \in L$. Since F is isotone and $u \leq x_1$, we have $F(u) \leq F(x_1) \leq x_1$, and since $F(u) \leq F(F(u))$, we have $F(u) \in M$. Hence, by the maximality of L and the fact that $u \leq F(u)$, we must have F(u) = u. Q.E.D.

We should note that fixed point theorems for isotone mappings have been obtained by Tarski; see [1, p. 54] or [3].

References

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ON THE ZEROS OF POLYNOMIALS

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The main purpose of this paper is to apply Schwarz's lemma to the study of the location of the zeros of a class of polynomials.

Throughout this paper $P(z) = a_0 z^n + a_1 z^{n-1} + \cdots + a_{n-1} z + a_n$. Let

$$M = \max_{|z|=1} |a_1 z^{n-1} + \cdots + a_n| = \max_{|z|=1} |a_n z^{n-1} + \cdots + a_1|.$$

We prove

THEOREM 1. All the zeros of P(z) lie in $|z| \leq M/|a_0|$ if $|a_0| \leq M$.

Proof. Consider $p(z) = a_0 + a_1 z + \cdots + a_n z^n$, then

$$| p(z) | \ge | a_0 | - | a_1 z + a_2 z^2 + \cdots + a_n z^n |.$$

The definition of M and Schwarz's lemma imply that

$$|a_1z + a_2z^2 + \cdots + a_nz^n| \le M|z|$$
 for $|z| \le 1$.

Hence, (1) implies that if $|z| \le 1$ then

$$|p(z)| \ge |a_0| - M|z|.$$

Thus in $|z| \le 1$, |p(z)| > 0 if $|z| < |a_0|/M$ (≤ 1 since $|a_0| \le M$ by hyp.); hence p(z) does not vanish for $|z| < |a_0|/M$. Consequently all the zeros of p(z) lie in $|z| \ge |a_0|/M$. As $P(z) = z^n p(1/z)$, we conclude that all the zeros of P(z) lie in $|z| \le M/|a_0|$. This proves the theorem.

REMARK 1. If $|a_0| \ge M$, it follows easily from (2) that all the zeros of P(z) lie in $|z| \le 1$.

REMARK 2. The limit in Theorem 1 is attained by $P(z) = -nz^n + z^{n-1} + \cdots + z + 1$.

REMARK 3. The bound obtained in Theorem 1 is in general better than the traditional $(|a_1| + |a_2| + \cdots + |a_n|)/|a_0|$.

COROLLARY 1. If $a_k \ge 0$, $k = 1, 2, \dots, n$ and $|a_0| \le a_1 + a_2 + \dots + a_n$, then all the zeros of P(Z) lie in $|z| \le (a_1 + a_2 + \dots + a_n)/|a_0|$.

In particular all the zeros of $R(z) = \pm S_0 z^n + a_1 z^{n-1} + \cdots + a_{n-1} z + a_n$ lie in $|z| \le 1$, where $S_0 = a_1 + a_2 + \cdots + a_n$.

A well-known theorem of Enestrom and Kakeya ([1], p. 106) states that if $a_0 \ge a_1 \ge a_2 \ge \cdots \ge a_{n-1} \ge a_n > 0$, then all the zeros of P(z) lie in $|z| \le 1$.

We show that it can be deduced easily from Corollary 1. Let

$$F(z) = (1-z)P(z) = (1-z)(a_0z^n + a_1z^{n-1} + \cdots + a_{n-1}z + a_n)$$

$$= -a_0z^{n+1} + (a_0 - a_1)z^n + (a_1 - a_2)z^{n-2} + \cdots + (a_{n-1} - a_n)z + a_n$$

$$= -a_0z^{n+1} + Q(z).$$

The hypothesis of the Enestrom-Kakeya theorem implies that Q(z) is a polynomial with nonnegative coefficients and the sum of the coefficients is clearly a_0 . Hence by Corollary 1 all the zeros of F(z) lie in $|z| \le 1$. As all the zeros of P(z) are also the zeros of F(z) we have proved the Enestrom-Kakeya Theorem.

THEOREM 2. Let r be the modulus of the zeros of largest modulus of P(z) and $M' = \max_{|z|=r} |a_0 z^{n-1} + a_1 z^{n-2} + \cdots + a_{n-1}|$. Then all the zeros of P(z) lie in the ring-shaped region $r|a_n|/M' \le |z| \le r$ if $|a_n| \le M'$.

Proof. $P(z) = a_n + a_0 z^n + a_1 z^{n-1} + \cdots + a_{n-1} z$ implies, by Schwarz's lemma, that if $|z| \le r$ then

$$|P(z)| \ge |a_n| - |a_0z^n + a_1z^{n-1} + \cdots + a_{n-1}z| \ge |a_n| - \frac{M'|z|}{r}$$

Hence |P(z)| > 0 if $|z| < r|a_n|/M'$ ($\leq r$ since $|a_n| \leq M'$ by hypothesis). Hence all the zeros of P(z) lie in the region $r|a_n|/M' \leq |z| \leq r$.

COROLLARY 2. If $a_k \ge 0$, $k=1, 2, 3, \cdots, n-1, a_0 > 0$, and if $|a_n| \le a_0 r^n + a_1 r^{n-1} + \cdots + a_{n-1} r$, then all the zeros of P(z) lie in

$$\frac{r \mid a_n \mid}{a_0 r^n + a_1 r^{n-1} + \cdots + a_{n-1} r} \leq \mid z \mid \leq r.$$

With the help of Corollary 2 we can restate the Enestrom-Kakeya Theorem in the following form:

THEOREM 3. If $a_0 \ge a_1 \ge \cdots \ge a_{n-1} \ge a_n > 0$, then all the zeros of P(z) lie in the ring-shaped region $a_n/(a_0+a_1+\cdots+a_{n-1}) \le |z| \le 1$.

The lower limit is attained by P(z) = z + 1. The following theorem can be easily deduced from the Enestrom-Kakeya Theorem ([1], p. 106).

Theorem a. All the zeros of P(z) having real positive coefficients lie in $|z| \leq \rho$, where

(3)
$$\rho = \max \left(\frac{a_1}{a_0}, \frac{a_2}{a_1}, \dots, \frac{a_{n-1}}{a_{n-2}}, \frac{a_n}{a_{n-1}} \right).$$

Clearly $\rho^n \ge a_n/a_0$ or $a_0 \rho^n \ge a_n$. Hence,

$$M' = \max_{|z|=\rho} |a_0 z^n + a_1 z^{n-1} + \cdots + a_{n-1} z| = a_0 \rho^n + a_1 \rho^{n-1} + \cdots + a_{n-1} \rho > a_n.$$

Hence, applying Corollary 2 to P(z), we can restate this theorem in the following form:

THEOREM 4. If ρ is given by (3) then all the zeros of P(z) having real positive coefficients lie in the ring-shaped region

$$\frac{\rho a_n}{a_0 \rho^n + a_1 \rho^{n-1} + \cdots + a_{n-1} \rho} \leq z \leq \rho.$$

THEOREM 5. If $a_0 \ge a_1 \ge \cdots \ge a_{n-1} \ge a_n > 0$, then the number of zeros of P(z) in $|z| \le \frac{1}{2}$ does not exceed

$$1 + \frac{1}{\log 2} \log \frac{a_0}{a_n} \cdot$$

Proof. Consider

$$F(z) = (1-z)(a_0z^n + a_1z^{n-1} + \cdots + a_{n-1}z + a_n)$$

= $-a_0z^{n+1} + (a_0 - a_1)z^n + (a_1 - a_2)z^{n-1} + \cdots + (a_{n-1} - a_n)z + a_n$.

For $|z| \leq 1$,

$$\left|\frac{F(z)}{F(0)}\right| \leq \frac{a_0 + (a_0 - a_1) + \dots + (a_{n-1} - a_n) + a_n}{a_n} = \frac{2a_0}{a_n}.$$

If f(z) is regular, $f(0) \neq 0$, and $|f(z)| \leq M$ in $|z| \leq 1$, then ([2], p. 171) the number of zeros of f(z) in $|z| \leq 1/2$ does not exceed $\{\log M/|f(0)|\}/\log 2$. Therefore, if n(1/2) denotes the number of zeros of F(z) in $|z| \leq 1/2$ then from above

$$n(\frac{1}{2}) \le \log \frac{2a_0}{a_n} / \log 2 = 1 + \frac{1}{\log 2} \log \frac{a_0}{a_n}$$

As the number of zeros of P(z) in $z \le 1/2$ is also equal to $n(\frac{1}{2})$ the theorem is proved.

References

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